



[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH
Serial No. : 09/447,319
Filed : November 22, 1999

For : **SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT**

Examiner : Hani M. Kazimi

Group Art Unit : 3624

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Signature:

AARON C. DEDITCH

(33,865)

AMENDMENT AFTER A FINAL OFFICE ACTION

SIR:

In response to the Final Office Action mailed on July 31, 2003 (the three-month response date for which is October 31, 2003), please reconsider the above-identified application based on the following:

Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

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